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Dated: August 23, 2004

Signature: \_\_\_\_\_

(Robert B. Cohen)

Docket No.: SCEI 3.0-113  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Hisayuki Kunigita

Application No.: 10/057,074

Group Art Unit: 3625

Filed: January 24, 2002

Examiner: Not Yet Assigned

For: ELECTRONIC COMMERCE SYSTEM,  
COMMODITY PURCHASE ASSISTANCE  
METHOD, AND COMMODITY PURCHASE  
ASSISTANCE APPARATUS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Several of the cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed. The other references were cited in an Official Action issued in connection with applicant's corresponding Japanese patent application. A copy of the Official Action (and an English translation thereof), and a copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Application No.: 10/057,074

Docket No.: SCEI 3.0-113

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: August 23, 2004

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

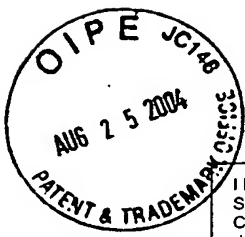
600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

LD-458\



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**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)**

Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: August 23, 2004

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By 

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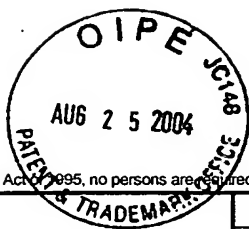
Registration No.: 32,768

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000  
Attorney for Applicant

LD-456\

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|---|---|----|---|--------------------------|-------------------|
| Substitute for form 1449A/B/PTO   |   |    |   | <b>Complete if Known</b> |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Application Number       | 10/057,074        |
|   |   |    |   | Filing Date              | January 24, 2002  |
|   |   |    |   | First Named Inventor     | Hisayuki Kunigita |
|   |   |    |   | Art Unit                 | 3625              |
|   |   |    |   | Examiner Name            | Not Yet Assigned  |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | SCEI 3.0-113      |

| U.S. PATENT DOCUMENTS |                       |  |                                |  |   |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | AA                    | 5,053,956-A1                             | 10-01-1991                     | Donald et al.                                      |   |
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| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |                |
|--------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                          | BA                    | JP-10-207941-A1   | 08-07-1998                     | Hitachi Ltd, Hitachi Inf Syst Ltd                  |   | **             |
|                          | BB                    | JP-2000-048081-A1   | 02-18-2000                     | Dainippon Printing Co Ltd                          |   | **             |
|                          | BC                    | JP-09-204478-A1   | 08-05-1997                     | Topcon Corp  |   | **             |
|                          | BD                    | JP-06-044358-A1   | 02-18-1994                     | Toshiba Corp                                       |   | **             |
|                          | BE                    | JP-11-102007-A1   | 04-13-1999                     | Casio Comput Co Ltd                                |   | **             |
|                          | BF                    | JP-11-265243-A1   | 09-28-1999                     | Oki Electric Ind Co Ltd                            |   | **             |
|                          | BG                    | JP-9-171569-A1  | 06-30-1997                     | Fuji Xerox Co., Ltd.                               |   | 1A             |
|                          | BH                    | JP-09-259252-A1   | 10-03-1997                     | Hitachi Ltd  |   | **             |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       |   |                |

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

\*\* with English abstract only.

1A – U.S. Patent No. 5,880,974 is an English counterpart.

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|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|